

WEST MIDLANDS FIRE AND RESCUE AUTHORITY

AUDIT AND RISK COMMITTEE

WORK PROGRAMME 2021/22

Date of Meeting	Item	Responsible Officer	Completed
2021			
19 July 2021	Statement of Accounts 2020/21 (Draft Unaudited Approval)	Treasurer	19 th July 2021
	Treasury Management Annual Report 2020/21	Treasurer	19 th July 2021
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler (Enabling Services)	Note: deferred to 6 December
	Minutes of the Audit and Risk Committee held on 7 June 2021	Democratic Services Officer	19 th July 2021
	Minutes of the Pension Board held on 30 March 2021	Democratic Services Officer	19 th July 2021
	Minutes of the Pension Board held on 9 June 2021	Democratic Services Officer	19 th July 2021
	Training Requirements of Audit and Risk Members	Democratic Services Officer	

	<p>Audit and Risk Committee Draft Work Plan 2021/22</p> <p>Request for a decision on Action to be taken in respect of immediate detriment cases under the McCloud_Sargeant Ruling</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>ACFO Strategic Enabler (Enabling Services) / Treasurer</p>	<p>Note: deferred from 19 July meeting</p> <p>19th July 2021</p> <p>19th July 2021</p>
27 Sept 2021	<p>Terms of Reference of Audit and Risk Committee</p> <p>Audit Findings Report 2020/21</p> <p>Statement of Accounts 2020/21 (for approval)</p> <p>Audit Progress & Sector Update</p> <p>Internal Audit Progress Report</p> <p>Internal Audit Report - Data Governance</p>	<p>Democratic Services Officer</p> <p>Grant Thornton</p> <p>Treasurer</p> <p>Grant Thornton</p> <p>Audit Manager</p> <p>ACFO Strategic Enabler (Enabling Services) /Data and Governance Manager</p>	<p>27th September 2021</p> <p>27th September 2021</p> <p>27th September 2021</p> <p>Deferred from 27th Sept Meeting 27th September 2021</p> <p>27th September 2021</p> <p>27th September 2021</p>

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	Minutes of the Audit and Risk Committee held on 19 July 2021 Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer Democratic Services Officer	27 th September 2021
4 Oct 2021 [Authority]	Audit Findings Report 2020/21 Statement of Accounts 2020/21 Minutes of the Audit and Risk Committee held on 07 June and 19 July	Grant Thornton Treasurer Democratic Services Officer	4 th October 2021 4 th October 2021 4 th October 2021
25 Oct 2021 - <i>Cancelled</i>	Minutes of the Audit and Risk Committee held on 27 September 2021 Audit Progress & Sector Update Internal Audit Progress Report Treasury Management Mid-Year Report 2021/22 Annual Audit Letter 2020/21 Corporate Risk Update Q1 & Q2 2021/22	Democratic Services Officer Grant Thornton Audit Manager Treasurer Grant Thornton ACFO Strategic Enabler (Enabling Services)	Deferred to 6 December Deferred to 6 December Deferred to 6 December Deferred to 6 December Deferred to 21 March 2022 Deferred to 6 December

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	<p>Annual SIRO report</p> <p>Audit and Risk Committee Work Plan 2021/22</p> <p>Minutes of the Pension Board held on 08 September 2021</p> <p>Fraud Awareness Training (tbc)</p>	<p>ACFO Strategic Enabler (Enabling Services)</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Phil Tromans John Matthews</p>	<p>Deferred to 21 March 2022</p> <p>Deferred to 6 December</p> <p>Deferred to 6 December</p> <p>Deferred to 21 March 2022</p>
<p>6 December 2021</p>	<p>Minutes of the Audit and Risk Committee held on 27 September 2021</p> <p>Audit Progress & Sector Update</p> <p>Internal Audit Progress Report</p> <p>Treasury Management Mid-Year Report 2021/22</p> <p>Corporate Risk Update Q1 & Q2 2021/22</p> <p>Vacant Residential Properties Update</p> <p>Monitoring Policies and RIPA</p>	<p>Democratic Services Officer</p> <p>Grant Thornton</p> <p>Audit Manager</p> <p>Treasurer</p> <p>ACFO Strategic Enabler (Enabling Services)</p> <p>Treasurer</p>	<p>6 December 2021</p> <p>Deferred to 21 March 2022</p> <p>6 December 2021</p> <p>6 December 2021</p> <p>6 December 2021</p> <p>6 December 2021</p> <p>6 December 2021</p> <p>6 December 2021</p>

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	(Annual Whistleblowing Report) Audit and Risk Committee Work Plan 2021/22 Minutes of the Pension Board held on 08 September 2021	Monitoring Officer/ACFO Strategic Enabler (Enabling Services) Democratic Services Officer Democratic Services Officer	6 December 2021
13 December 2021 (Authority)	Minutes of the Audit and Risk Committee held on 27 September Annual Audit Letter 2020/21	Democratic Services Officer Grant Thornton	13 December 2021 Deferred to 27 June

2022			
14 February 2022 (Authority)			
21 March 2022	Minutes of the Audit and Risk Committee held on 6 December 2021 Internal Audit Progress Report Audit Progress & Sector Update	Democratic Services Officer Audit Manager Grant Thornton	21 March 2022 21 March 2022

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	Internal Audit Plan 2022/23	Audit Manager	21 March 2022
	Audit Plan 2021/22 (possibly in June 2022 - tbc)	Grant Thornton	
	Internal Audit Charter – Annual Review	Audit Manager	21 March 2022
	Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)	Grant Thornton	21 March 2022
	Annual Audit Letter 2020/21	Grant Thornton	Deferred to 06 June 2022
	Annual SIRO report	ACFO Strategic Enabler (Enabling Services)	21 March 2022
	Minutes of the Pensions Board held on 10 December 2021	Democratic Services Officer	06 June 2022
	Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer	21 March 2022
	Fraud Awareness Training	Phil Tromans John Matthews	21 March 2022
	<i>Committee Members' Private meeting with Internal Auditors (to follow Committee)</i>	<i>Audit Manager</i>	21 March 2022
6 June 2022	Annual Internal Audit Report 2021/22	Audit Manager	6 June 2022

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Governance Statement 2021/22	Treasurer	6 June 2022
Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler (Enabling Services)	6 June 2022
Corporate Risk Update Q3 & Q4 2021/22	ACFO Strategic Enabler (Enabling Services)	6 June 2022
Audit Plan 2021/22 (possibly in March 2022 - tbc)	Grant Thornton	6 June 2022
Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)	Grant Thornton	21 March 2022
Annual Report of the Audit and Risk Committee 2021/22 for approval	Chair, Audit and Risk	6 June 2022
Audit Progress & Sector Update	Grant Thornton	
Internal Audit Progress Report	Audit Manager	
Minutes of the Audit and Risk Committee held on 21 March 2022	Democratic Services Officer	6 June 2022

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	<p>Minutes of the Pensions Board held on 10 December</p> <p>Annual Report of the Pension Board 2021/22</p> <p>Audit and Risk Committee Work Plan 2021/22</p> <p><i>Committee Members' Private meeting with External Auditors</i></p> <p><i>Workshop for Members on Statement of Accounts 2021/22</i></p>	<p>Democratic Services Officer</p> <p>Chair, Pensions Board</p> <p>Democratic Services Officer</p> <p><i>Grant Thornton</i></p> <p><i>Treasurer</i></p>	<p>6 June 2022</p> <p>Deferred to July 2022</p> <p>6 June 2022</p> <p>Deferred - TBC</p> <p>6 June 2022</p>
<p>27 June 2022 [Authority]</p>	<p>Governance Statement 2021/22</p> <p>Annual Audit Letter 2020/21</p> <p>Minutes of the Audit and Risk Committee held on 21 March 2022</p> <p>Minutes of the Audit and Risk Committee held on 6 December 2021</p> <p>Annual Report of the Audit and Risk Committee 2021/22</p>	<p>Treasurer</p> <p>Grant Thornton</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Chair, Audit and Risk</p>	

<p>XX July 2022 (Audit and Risk Committee 2022/23)</p>	<p>Minutes of the Audit and Risk Committee held on 6 June 2022</p> <p>Statement of Accounts 2021/22 (Draft Unaudited Approval)</p> <p>Treasury Management Annual Report 2021/22</p> <p>Audit and Risk Committee Draft Work Plan 2022/23</p> <p>Minutes of the Pension Board - 28 March 2022</p> <p>Note: Audit Findings Report 2021/22 (needs to be in Sept 2022)</p>	<p>Democratic Services Officer</p> <p>Treasurer</p> <p>Treasurer</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Grant Thornton</p>	
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