

**WEST MIDLANDS FIRE AND RESCUE AUTHORITY**

**AUDIT AND RISK COMMITTEE**

**WORK PROGRAMME 2021/22**

<b>Date of Meeting</b>	<b>Item</b>	<b>Responsible Officer</b>	<b>Completed</b>
<b>2021</b>			
19 July 2021	Statement of Accounts 2020/21 (Draft Unaudited Approval)	Treasurer	19 <sup>th</sup> July 2021
	Treasury Management Annual Report 2020/21	Treasurer	19 <sup>th</sup> July 2021
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler (Enabling Services)	Note: deferred from 7 June meeting
	Minutes of the Audit and Risk Committee held on 7 June 2021	Democratic Services Officer	19 <sup>th</sup> July 2021
	Minutes of the Pension Board held on 30 March 2021	Democratic Services Officer	19 <sup>th</sup> July 2021
	Minutes of the Pension Board held on 9 June 2021	Democratic Services Officer	19 <sup>th</sup> July 2021

	<p>Training Requirements of Audit and Risk Members</p> <p>Audit and Risk Committee Draft Work Plan 2021/22</p> <p>Request for a decision on Action to be taken in respect of immediate detriment cases under the McCloud_Sargeant Ruling</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>ACFO Strategic Enabler (Enabling Services) / Treasurer</p>	<p>Note: deferred from 19 July meeting</p> <p>19<sup>th</sup> July 2021</p> <p>19<sup>th</sup> July 2021</p>
27 Sept 2021	<p>Terms of Reference of Audit and Risk Committee</p> <p>Audit Findings Report 2020/21</p> <p>Statement of Accounts 2020/21 (for approval)</p> <p>Audit Progress &amp; Sector Update</p> <p>Internal Audit Progress Report</p> <p>Internal Audit Report - Data Governance</p> <p>Minutes of the Audit and Risk Committee held on 19 July 2021</p>	<p>Democratic Services Officer</p> <p>Grant Thornton</p> <p>Treasurer</p> <p>Grant Thornton</p> <p>Audit Manager</p> <p>ACFO Strategic Enabler (Enabling Services) / Data and Governance Manager</p> <p>Democratic Services Officer</p>	

	Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer	
4 Oct 2021 [Authority]	Audit Findings Report 2020/21  Statement of Accounts 2020/21  Minutes of the Audit and Risk Committee held on 19 July	Grant Thornton  Treasurer  Democratic Services Officer	
25 Oct 2021	Minutes of the Audit and Risk Committee held on 27 September 2021  Audit Progress & Sector Update  Internal Audit Progress Report  Treasury Management Mid-Year Report 2021/22  Annual Audit Letter 2020/21  Corporate Risk Update Q1 & Q2 2021/22  Annual SIRO report	Democratic Services Officer  Grant Thornton  Audit Manager  Treasurer  Grant Thornton  ACFO Strategic Enabler (Enabling Services)  ACFO Strategic Enabler (Enabling Services)	

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	<p>Audit and Risk Committee Work Plan 2021/22</p> <p>Minutes of the Pension Board held on 08 September 2021</p> <p>Fraud Awareness Training (tbc)</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Phil Tromans John Matthews</p>	
<p>13 December 2021 (Authority)</p>	<p>Minutes of the Audit and Risk Committee held on 27 September</p> <p>Minutes of the Audit and Risk Committee held on 8 November</p> <p>Annual Audit Letter 2020/21</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Grant Thornton</p>	

<b>2022</b>			
<p>14 February 2022 (Authority)</p>	Tbc	Tbc	
<p>21 March 2022</p>	<p>Minutes of the Audit and Risk Committee held on 8 November 2021</p> <p>Internal Audit Progress Report</p>	<p>Democratic Services Officer</p> <p>Audit Manager</p>	

	<p>Audit Progress &amp; Sector Update</p> <p>Internal Audit Plan 2022/23</p> <p>Audit Plan 2021/22 (possibly in June 2022 - tbc)</p> <p>Internal Audit Charter – Annual Review</p> <p>Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)</p> <p>Minutes of the Pensions Board held on 10 December 2021</p> <p>Audit and Risk Committee Work Plan 2021/22</p> <p><i>Committee Members’ Private meeting with Internal Auditors (to follow Committee)</i></p>	<p>Grant Thornton</p> <p>Audit Manager</p> <p>Grant Thornton</p> <p>Audit Manager</p> <p>Grant Thornton</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p><i>Audit Manager</i></p>	
6 June 2022	<p>Annual Internal Audit Report 2021/22</p> <p>Governance Statement 2021/22</p> <p>Monitoring Policies and RIPA (Annual Whistleblowing Report)</p>	<p>Audit Manager</p> <p>Treasurer</p> <p>Monitoring Officer/ACFO Strategic Enabler</p>	

		(Enabling Services)	
	Corporate Risk Update Q3 & Q4 2021/22	ACFO Strategic Enabler (Enabling Services)	
	Audit Plan 2021/22 (possibly in March 2022 - tbc)	Grant Thornton	
	Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)	Grant Thornton	
	Annual Report of the Audit and Risk Committee 2021/22 for approval	Chair, Audit and Risk	
	Audit Progress & Sector Update	Grant Thornton	
	Internal Audit Progress Report	Audit Manager	
	Minutes of the Audit and Risk Committee held on 21 March 2022	Democratic Services Officer	
	Minutes of the Pensions Board held on XXXX - tbc	Democratic Services Officer	
	Annual Report of the Pension Board 2021/22	Chair, Pensions Board	

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	<p>Audit and Risk Committee Work Plan 2021/22</p> <p><i>Committee Members' Private meeting with External Auditors</i></p> <p><i>Workshop for Members on Statement of Accounts 2021/22</i></p>	<p>Democratic Services Officer</p> <p><i>Grant Thornton</i></p> <p><i>Treasurer</i></p>	
<p>27 June 2022 [Authority]</p>	<p>Governance Statement 2021/22</p> <p>Minutes of the Audit and Risk Committee held on 21 March 2022</p> <p>Minutes of the Audit and Risk Committee held on 6 June 2022</p> <p>Annual Report of the Audit and Risk Committee 2021/22</p>	<p>Treasurer</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Chair, Audit and Risk</p>	
<p>XX July 2022 (Audit and Risk Committee 2022/23)</p>	<p>Statement of Accounts 2021/22 (Draft Unaudited Approval)</p> <p>Treasury Management Annual Report 2021/22</p> <p>Audit and Risk Committee Draft Work Plan 2022/23</p>	<p>Treasurer</p> <p>Treasurer</p> <p>Democratic Services Officer</p>	

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	<p>Minutes of the Pension Board (tbc)</p> <p>Note: Audit Findings Report 2021/22 (needs to be in Sept 2022)</p>	<p>Democratic Services Officer</p> <p>Grant Thornton</p>	
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