

WEST MIDLANDS FIRE AND RESCUE AUTHORITY

AUDIT AND RISK COMMITTEE

WORK PROGRAMME 2021/22

Date of Meeting	Item	Responsible Officer	Completed
2021			
19 July 2021	Statement of Accounts 2020/21 (Draft Unaudited Approval)	Treasurer	19 th July 2021
	Treasury Management Annual Report 2020/21	Treasurer	19 th July 2021
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler (Enabling Services)	Note: deferred to 6 December
	Minutes of the Audit and Risk Committee held on 7 June 2021	Democratic Services Officer	19 th July 2021
	Minutes of the Pension Board held on 30 March 2021	Democratic Services Officer	19 th July 2021
	Minutes of the Pension Board held on 9 June 2021	Democratic Services Officer	19 th July 2021

	<p>Training Requirements of Audit and Risk Members</p> <p>Audit and Risk Committee Draft Work Plan 2021/22</p> <p>Request for a decision on Action to be taken in respect of immediate detriment cases under the McCloud_Sargeant Ruling</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>ACFO Strategic Enabler (Enabling Services) / Treasurer</p>	<p>Note: deferred from 19 July meeting</p> <p>19th July 2021</p> <p>19th July 2021</p>
27 Sept 2021	<p>Terms of Reference of Audit and Risk Committee</p> <p>Audit Findings Report 2020/21</p> <p>Statement of Accounts 2020/21 (for approval)</p> <p>Audit Progress & Sector Update</p> <p>Internal Audit Progress Report</p> <p>Internal Audit Report - Data Governance</p>	<p>Democratic Services Officer</p> <p>Grant Thornton</p> <p>Treasurer</p> <p>Grant Thornton</p> <p>Audit Manager</p> <p>ACFO Strategic Enabler (Enabling Services) /Data and Governance Manager</p>	<p>27th September 2021</p> <p>27th September 2021</p> <p>27th September 2021</p> <p>Deferred from 27th Sept Meeting</p> <p>27th September 2021</p> <p>27th September 2021</p>

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	Minutes of the Audit and Risk Committee held on 19 July 2021	Democratic Services Officer	27 th September 2021
	Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer	27 th September 2021
4 Oct 2021 [Authority]	Audit Findings Report 2020/21	Grant Thornton	4 th October 2021
	Statement of Accounts 2020/21	Treasurer	4 th October 2021
	Minutes of the Audit and Risk Committee held on 07 June and 19 July	Democratic Services Officer	4 th October 2021
25 Oct 2021 - <i>Cancelled</i>	Minutes of the Audit and Risk Committee held on 27 September 2021	Democratic Services Officer	Deferred to 6 December
	Audit Progress & Sector Update	Grant Thornton	Deferred to 6 December
	Internal Audit Progress Report	Audit Manager	Deferred to 6 December
	Treasury Management Mid-Year Report 2021/22	Treasurer	Deferred to 6 December
	Annual Audit Letter 2020/21	Grant Thornton	Deferred to 21 March 2022
	Corporate Risk Update Q1 & Q2 2021/22	ACFO Strategic Enabler (Enabling Services)	Deferred to 6 December

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	Annual SIRO report	ACFO Strategic Enabler (Enabling Services)	Deferred to 21 March 2022
	Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer	Deferred to 6 December
	Minutes of the Pension Board held on 08 September 2021	Democratic Services Officer	Deferred to 6 December
	Fraud Awareness Training (tbc)	Phil Tromans John Matthews	Deferred to 21 March 2022
6 December 2021	Minutes of the Audit and Risk Committee held on 27 September 2021	Democratic Services Officer	6 December 2021
	Audit Progress & Sector Update	Grant Thornton	Deferred to 21 March 2022
	Internal Audit Progress Report	Audit Manager	6 December 2021
	Treasury Management Mid-Year Report 2021/22	Treasurer	6 December 2021
	Corporate Risk Update Q1 & Q2 2021/22	ACFO Strategic Enabler (Enabling Services)	6 December 2021
	Vacant Residential Properties Update	Treasurer	6 December 2021
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler	6 December 2021

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	Audit and Risk Committee Work Plan 2021/22	(Enabling Services) Democratic Services Officer	6 December 2021
	Minutes of the Pension Board held on 08 September 2021	Democratic Services Officer	6 December 2021
13 December 2021 (Authority)	Minutes of the Audit and Risk Committee held on 27 September	Democratic Services Officer	13 December 2021
	Annual Audit Letter 2020/21	Grant Thornton	Deferred to 27 June

2022

14 February 2022 (Authority)			
21 March 2022	Minutes of the Audit and Risk Committee held on 6 December 2021	Democratic Services Officer	21 March 2022
	Internal Audit Progress Report	Audit Manager	21 March 2022
	Audit Progress & Sector Update	Grant Thornton	
	Internal Audit Plan 2022/23	Audit Manager	21 March 2022
	Audit Plan 2021/22 (possibly in June 2022 - tbc)	Grant Thornton	

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	Internal Audit Charter – Annual Review	Audit Manager	21 March 2022
	Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)	Grant Thornton	21 March 2022
	Annual Audit Letter 2020/21	Grant Thornton	Deferred to 06 June 2022
	Annual SIRO report	ACFO Strategic Enabler (Enabling Services)	21 March 2022
	Minutes of the Pensions Board held on 10 December 2021	Democratic Services Officer	06 June 2022
	Audit and Risk Committee Work Plan 2021/22	Democratic Services Officer	21 March 2022
	Fraud Awareness Training	Phil Tromans John Matthews	21 March 2022
	<i>Committee Members' Private meeting with Internal Auditors (to follow Committee)</i>	<i>Audit Manager</i>	21 March 2022
6 June 2022	Annual Internal Audit Report 2021/22	Audit Manager	
	Governance Statement 2021/22	Treasurer	
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO	

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		Strategic Enabler (Enabling Services)	
	Corporate Risk Update Q3 & Q4 2021/22	ACFO Strategic Enabler (Enabling Services)	
	Audit Plan 2021/22 (possibly in March 2022 - tbc)	Grant Thornton	
	Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22 (possibly in March 2022 but may need to be June 2022 - tbc)	Grant Thornton	
	Annual Report of the Audit and Risk Committee 2021/22 for approval	Chair, Audit and Risk	
	Audit Progress & Sector Update	Grant Thornton	
	Internal Audit Progress Report	Audit Manager	
	Minutes of the Audit and Risk Committee held on 21 March 2022	Democratic Services Officer	
	Minutes of the Pensions Board held on XXXX - tbc	Democratic Services Officer	
	Annual Report of the Pension Board 2021/22	Chair, Pensions Board	

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	<p>Audit and Risk Committee Work Plan 2021/22</p> <p><i>Committee Members' Private meeting with External Auditors</i></p> <p><i>Workshop for Members on Statement of Accounts 2021/22</i></p>	<p>Democratic Services Officer</p> <p><i>Grant Thornton</i></p> <p><i>Treasurer</i></p>	
<p>27 June 2022 [Authority]</p>	<p>Governance Statement 2021/22</p> <p>Annual Audit Letter 2020/21</p> <p>Minutes of the Audit and Risk Committee held on 21 March 2022</p> <p>Minutes of the Audit and Risk Committee held on 6 December 2021</p> <p>Annual Report of the Audit and Risk Committee 2021/22</p>	<p>Treasurer</p> <p>Grant Thornton</p> <p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Chair, Audit and Risk</p>	<p>Deferred to October 2022</p>

<p>XX July 2022 (Audit and Risk Committee 2022/23)</p>	<p>Minutes of the Audit and Risk Committee held on 6 June 2022</p> <p>Statement of Accounts 2021/22 (Draft Unaudited Approval)</p> <p>Treasury Management Annual Report 2021/22</p>	<p>Democratic Services Officer</p> <p>Treasurer</p> <p>Treasurer</p>	
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	<p>Audit and Risk Committee Draft Work Plan 2022/23</p> <p>Minutes of the Pension Board (tbc)</p> <p>Note: Audit Findings Report 2021/22 (needs to be in Sept 2022)</p>	<p>Democratic Services Officer</p> <p>Democratic Services Officer</p> <p>Grant Thornton</p>	
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