

Managing the Risk of Fraud - Actions to Counter Fraud and Corruption: Self Assessment – Action Plan

Reference	Action Required	Responsible Officer	Deadline	Outcome
1.1 & 4.26	The anti fraud and corruption policy needs to be amended to reflect that the Authority advocates a zero tolerance approach and the approach to the recovery of monies lost to fraud.	S Taylor (SMBC Internal Audit)	October 09	Revised sent to Audit Committee in October 2009.
1.1 & 1.6	The anti fraud and corruption policy should be formally approved by the Authority.			
4.1	A Raising Fraud Awareness Guide for managers should be produced and made available to all staff.	S Taylor (SMBC Internal Audit)	July 09	Actioned
4.3 & 4.5	A Questionnaire should be issued to all employees asking about their responsibilities to the Authority in regard to fraud and corruption (e.g. where the policies are, have they read them etc).	Alan Brandon	-	Superceded by 4.5
4.5	The Authority to consider whether or not an online fraud training package would be beneficial.	Alan Brandon	June 10	An online fraud awareness package has been developed which will be rolled out to all staff. The package will incorporate elements of 4.3 above.