

WEST MIDLANDS FIRE AND RESCUE AUTHORITY

AUDIT AND RISK COMMITTEE

WORK PROGRAMME 2020/21

Date of Meeting	Item	Responsible Officer	Completed
2020			
27 July 2020	Audit Plan 2019/20	Grant Thornton	27.07.20
	Informing the Audit Risk 2019/20	Grant Thornton	27.07.20
	Statement of Accounts 2019/20 (Draft Unaudited Approval)	Treasurer	27.07.20
	Treasury Management Annual Report 2019/20	Treasurer	27.07.20
	Governance Statement 2019/20	Treasurer	27.07.20
	Internal Audit Plan 2020/21	Audit Manager	27.07.20
	Internal Audit Annual Report 2019/20	Audit Manager	27.07.20
	Minutes of the Audit and Risk Committee held on 1 June 2020	Democratic Officer	27.07.20
	Training Requirements of Audit and Risk Members	Democratic Officer	27.07.20

	Audit and Risk Committee Draft Work Plan 2020/21	Democratic Officer	Carried forward
26 Oct 2020	Terms of Reference of Audit and Risk Committee	Democratic Officer	26.10.20
	Treasury Management Mid-Year Report 2020/21	Treasurer	26.10.20
	Audit Progress & Sector Update	Grant Thornton	26.10.20
	Internal Audit Progress Report	Audit Manager	26.10.20
	Re-instatement of Pension Decision	Treasurer	26.10.20
	Remedy Report – McCloud/Sargeant	Treasurer	26.10.20
	Minutes of the Audit and Risk Committee held on 27 July 2020	Democratic Officer	26.10.20
	Audit and Risk Committee Draft Work Plan 2020/21	Democratic Officer	Carried forward
5 Oct 2020 [Authority]	Audit Findings Report 2019/20 Statement of Accounts 2019/20-(to approve)	Grant Thornton Treasurer	

7 Dec 2020			07.12.20
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Minutes of the Audit and Risk Committee held on 26 October 2020	Democratic Officer	07.12.20
Audit Progress & Sector Update	Grant Thornton	(verbal update)
Internal Audit Progress Report	Audit Manager	Carried forward
Corporate Risk Update Q1 & Q2 2020/21	ACFO Strategic Enabler (Enabling Services)	07.12.20
Annual SIRO report	ACFO Strategic Enabler (Enabling Services)	07.12.20
Remedy Report – McCloud/Sargeant	Treasurer	07.12.20
Audit and Risk Committee Draft Work Plan 2020/21	Democratic Officer	07.12.20
Pension Board Minutes 14 May 2020	Democratic Officer	07.12.20
Pension Board Minutes 30 July 2020	Democratic Officer	07.12.20
Pension Board Minutes 11 September 2020	Democratic Officer	07.12.20

	Fraud Awareness Training (tbc)	Phil Tromans John Matthews	Deferred until 2021/22.
14 December 2020 (Authority)	Minutes of the Audit and Risk Committee held on 26 October	Democratic Officer	

2021			
15 February 2021 (Authority)	Minutes of the Audit & Risk Committee held on 7 December 2020 (tbc)	Democratic Officer	
22 March 2021	Minutes of the Audit and Risk Committee held on 7 December 2020	Democratic Officer	22.03.21
	Internal Audit Progress Report	Audit Manager	22.03.21
	Audit Progress & Sector Update	Grant Thornton	22.03.21
	Internal Audit Plan 2021/22	Audit Manager	22.03.21
	Audit Plan 2020/21	Grant Thornton	Deferred to 07.06.21
	Internal Audit Charter – Annual Review	Audit Manager	22.03.21
	Informing the Audit Risk Assessment – West Midlands Fire and Rescue Authority 2021/22	Grant Thornton	Deferred to 07.06.21

	Minutes of the Pensions Board held on 09 December 2020	Democratic Officer	22.03.21
	Audit and Risk Committee Work Plan	Democratic Officer	22.03.21
	<i>Committee Members' Private meeting with Internal Auditors (to follow Committee)</i>	<i>Audit Manager</i>	Deferred
7 June 2021	Annual Internal Audit Report 2020/21	Audit Manager	To be deferred to 26 July 2021
	Governance Statement 2020/21	Treasurer	
	Monitoring Policies and RIPA (Annual Whistleblowing Report)	Monitoring Officer/ACFO Strategic Enabler (Enabling Services)	
	Corporate Risk Update Q3 & Q4 2020/21	ACFO Strategic Enabler (Enabling Services)	
	Annual Report of the Audit and Risk Committee 2020/21 for approval	Chair	
	Audit Progress & Sector Update	Grant Thornton	
	Internal Audit Progress Report	Audit Manager	

	<p>Minutes of the Audit and Risk Committee held on 23 March 2020</p> <p>Minutes of the Pensions Board (tbc)</p> <p>Annual Report of the Pension Board 2020/21</p> <p>Audit and Risk Committee Work Plan-2020/21</p> <p><i>Committee Members' Private meeting with External Auditors</i></p> <p><i>Workshop for Members on Statement of Accounts 2020/21</i></p>	<p>Democratic Officer</p> <p>Democratic Officer</p> <p>Chair, Pensions Board</p> <p>Democratic Officer</p> <p><i>Grant Thornton</i></p> <p><i>Treasurer</i></p>	
<p>21 June 2021 [Authority]</p>	<p>Governance Statement 2020/21</p> <p>Minutes of the Audit and Risk Committee held on 22 March 2020 & 7 June 2020</p> <p>Annual Report of the Audit and Risk Committee 2020/21</p>	<p>Treasurer</p> <p>Democratic Officer</p> <p>Chair</p>	

26 July 2021 (Audit and Risk Committee 2021/22)	Audit Findings 2020/21 Statement of Accounts 2020/21 (Approval) Treasury Management Annual Report 2020/21 Audit and Risk Committee Draft Work Plan 2021/22 Minutes of the Pension Board (tbc)	Grant Thornton Treasurer Treasurer Democratic Officer Democratic Officer
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